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PTO/SB/01 (12-97)

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#### Attorney Docket Number 98123 **DECLARATION FOR UTILITY OR** First Named Inventor Michael Strobel **DESIGN** COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) Application Number Filing Date October 8, 1998 Declaration □ Declaration OR Group Art Unit Submitted Submitted after Initial 3733 Filing (surcharge (37 CFR 1.16 (e)) with Initial **Examiner Name** Filing required) Unknown

As a below named inventor, I hereby declare that:								
My residence, post office address, and citizenship are as stated below next to my name.								
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
ORTHOPEDIC SPLINT								
the specification of which (Title of the Invention)								
is attached hereto OR	is attached hereto							
was filed on (MM/DD/YYYY) 10/8/98 as United States Application Number or PCT International								
Application Number	Application Number and was amended on (MM/DD/YYYY) (if applicable).							
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.								
• •			defined in 37 CF	FR 1.56.				
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.								
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Co YES	py Attached? NO			
	Germany	02/08/97	0000	0000	0000			
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:								
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.								
Application Number(s)	Filing Date	e (MM/DD/YYYY)	numbe supple	onal provisiona ers are listed or emental priority SB/02B attache	n a data sheet			

[Page 1 of 2]

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### **DECLARATION**

# ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 3

Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor										
Given Na	me (first and middle [if any	/])			Family Nam	e or Su	umame			
Jurgen				Eichhorn						
Inventor's Signature	4.110			30.10.98			Date			
Residence: City	Mitterfels	State		Country	Germany		Citizensh	ip G	erman	
Post Office Address	Am Thalberg 19									
Post Office Address										
City	Mitterfels	State		ZIP D	⊢94360 °	Country	Gern	any		
Name of Addition	nal Joint Inventor, if a	ny:		A petitio	n has been filed	for this	s unsigne	ed inv	entor	
	Given Name (first and middle [if any]) Family Name or Surname									
Karl					sladen	en				
Inventor's Signature	The Music	ush		30.10.98 Date						
Residence: City	Strubing	State		Country	Germany		Citizen	ship	German	
Post Office Address	Aiterhofener S	Str. 2								
Post Office Address										
City	Straubing	State		ZIP	D-94315	Count	ry G	erm	any	
Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor										
Given Na	Given Name (first and middle [if any]) Family Name or Surname									
Inventor's Signature								Date		
Residence: City		State Country Citizenship								
Post Office Address										
Post Office Address					<del></del>					
City		State		ZIP		Co	ountry			

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## DECLARATION — Utility or Design Patent Application

		HAHON	Othic	<u>,</u>		. 3			-1-1-		
I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.											
U.S. Parent Application or PCT Parent Number				Parent Filing Date P (MM/DD/YYYY)				arent Patent Number (if applicable)			
		- Namber									
PCT/DE 98/00328				02/06/98							
Additional	IIS or F	PCT international applica	tion numbers ar	e listed on	a suppler	nental	priority data	sheet P	TO/SB/02	2B attached h	ereto.
Ac a paged inv	entor I h	ereby appoint the followi	na reaistered p	actitioner(	s) to prose	ecute t	his application	n and to	transact	all business	in the Patent
and Trademark	Office co	onnected therewith:	Customer Num	ber				<b></b> →	<b>-</b>	Place Custo Number Bar	inter
			OR Registered pra-	ctitioner(s)	name/req	istratio	on number lis	ted belo	" L	Label he	
			Regist	ration	Name				Registration Number		
	Nam	e	Num	ber						110	11001
Thomas R. Vigil 24,542											
A delition of		d practitioner(s) named o	n supplemental	Registere	d Practitio	ner Inf	ormation she	eet PTO/	SB/02C	attached here	to.
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Address	836	South Northwe	est mign	way		$\neg \vdash$					
City	Barı	rington State				Ill.	ZIP 60010				
Country	USA	,	Telephor		7) 382-6500 Fax (847)						
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.											
Name of Sole or First Inventor:											
Given Name (first and middle [if any]) Family Name or Surname											
					Strobel						
Inventor's Signature		U. 1011 30.1098 Date									
	Residence: City Mitterfels State				Country Germany Citizenship Germ					German	
	residence. only indicated and in the second										
	Post Office Address Hochfeldring 2										
	Post Office Address										
Mitterf City	Mitterfels   State   ZIP D-94360   Country Germany										
Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto											

168.7035



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Applicant, Patentee, or Identifier: Michael Strobel, Jurgen Eichhorn & Karl Hausladen Application or Patent No.: 09/171/732 Filed or Issued: 0						
Application or Patent No.: 09/171/732 Filed or Issued: 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0.						
Title: ORTHOPEDIC SPLINT  I hereby state that I am  the owner of the small business concern identified below:  an official of the small business concern empowered to act on behalf of the concern identified below:  Medi Bayreuth Weihermuller und  NAME OF SMALL BUSINESS CONCERN Voigtmann CmbH & Co. KG  ADDRESS OF SMALL BUSINESS CONCERN Chr. Ritter von Langheinrich Strasse 24  D-95448, Bayreuth, Germany  I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:						
Title: ORTHOPEDIC SPLINT  I hereby state that I am  ☐ the owner of the small business concern identified below: ☐ an official of the small business concern empowered to act on behalf of the concern identified below: ☐ Medi Bayreuth Weihermuller und ☐ NAMEOFSMALL BUSINESSCONCERN Voigtmann GmbH & Co. KG  ADDRESS OF SMALL BUSINESS CONCERN Chr. Ritter von Langheinrich Strasse 24 ☐ D-95448, Bayreuth, Germany  I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, σ a third party or parties controls or has the power to control both.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:						
the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below: Medi Bayreuth Weihermuller und  NAMEOFSMALLBUSINESSCONCERN Voigtmann CmbH & Co. KG  ADDRESSOFSMALLBUSINESSCONCERN Chr. Ritter von Langheinrich Strasse 24  D-95448, Bayreuth, Germany  I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:						
I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:  the specification filed herewith with title as listed above.						
I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:						
13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:  the specification filed herewith with title as listed above.						
identified above with regard to the invention described in:  the specification filed herewith with title as listed above.						
☐ the application identified above. ☐ the patent identified above.						
If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).						
Each person, concern, or organization having any rights in the invention is listed below:  no such person, concern, or organization exists.  each such person, concern, or organization is listed below.						
Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)						
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))						
NAME OF PERSON SIGNING						
TITLE OF PERSON IF OTHER THAN OWNER Authorized Signatory						
ADDRESS OF PERSON MENING medi Bayreuth, ChrRitter-v.Langheinrich-Str.24, Bayreut						
SIGNATURE DATE October 23rd, 1998						

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### STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR

Docket Number (Optional) 98123

Applicant, Patentee, or Identifier:	Michael Strobel, Jurgen Eich	nhorn & Karl Hausladen					
Application or Patent No.:	09/171,732	·					
Filed or Issued:	October 8,1998						
Title: ORTHOPEDIC SPLIN	<b>₹</b> Γ						
	eby state that I qualify as an independent in es to the Patent and Trademark Office desc						
the specification filed here	with with title as listed above.						
the application identified above.							
the patent identified above.							
grant, convey, or license, any right under 37 CFR 1.9(c) if that person	veyed, or licensed, and am under no obliga s in the invention to any person who would no n had made the invention, or to any concen .9(d) or a nonprofit organization under 37 C	ot qualify as an independent inventor n which would not qualify as a small					
	ation to which I have assigned, granted, co assign, grant, convey, or license any right						
No such person, concern	, or organization exists.						
Each such person, conce	ern, or organization is listed below.						
Medi Bayreuth We	eihermuller und Voigtmann Gm	bH & Co. KG					
Separate statements are required stating their status as small entities	from each named person, concern, or orgar es. (37 CFR 1.27)	nization having rights to the invention					
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))							
Michael Strobel NAME OF INVENTOR With Signature of inventor	Jurgen Eichhorn  NAME OF INVENTOR  All:  Signature of inventor	Karl Hausladen  NAME OF INVENTOR  Signature of inventor					
October 30, 1998	October 30, 1998  Date	October 30 , 1998  Date					

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